12/14/04

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10/618,196 **Application Number** TRANSMITTAL July 11, 2003 Filing Date **FORM** First Named Inventor Haruyuki Nishijima et al (to be used for all correspondence after initial filing) Art Unit 3743 **Examiner Name** unknown

Total Number of Pages	s in This Submission	/	Attorney Docket Number	4041J	-000742	
-		ENCLOS	URES (check all that apply)			
Fee Transmittal Form		☐ Drawing(s)		After Allowance Communication to Technology Center (TC)		
Fee Attached		Licensing-related Papers			peal Communication to Board of peals and Interferences	
Amendment / Reply		Petition			peal Communication to TC peal Notice, Brief, Reply Brief)	
, After Final		Petition to Convert to a Provisional Application		Pro	prietary Information	
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		☐ Status Letter		
Extension of Time Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund  CD, Number of CD(s)			copies of cited references including Chinese Office Action dated 11/19/2004	
☑ Information Disclosure Statement						
Certified Copy of Priority Document(s)		Remarks The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.				
Response to Missing Parts/ Incomplete Application			,10004111110110010101	, aupilo	ale sep, e. ale elles e ellesee.	
Response to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNA	TURE OF AP	PLICANT, ATTORNEY, O	R AGEN	IT	
Firm or Individual name  Harness, Dickey		Pierce, B.L.C. Attorney Name H. Keith Miller, Esq.			Reg. No. 22,484	
Signature Wallell W						
Date	December 13, 2004					
	CI	ERTIFICATE	OF TRANSMISSION/MAII	LING		

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. EV 570 163 494 US Typed or printed name H. Keith Miller, Esq

Express Mail Label No. Signature Date December 13, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/618,196

Filing Date:

July 11, 2003

Applicant:

Haruyuki Nishijima et al

**Group Art Unit:** 

3743

Examiner:

To be assigned

Title:

Heat Exchanger for Cooling Air

Attorney Docket:

4041J-000742

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

#### I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

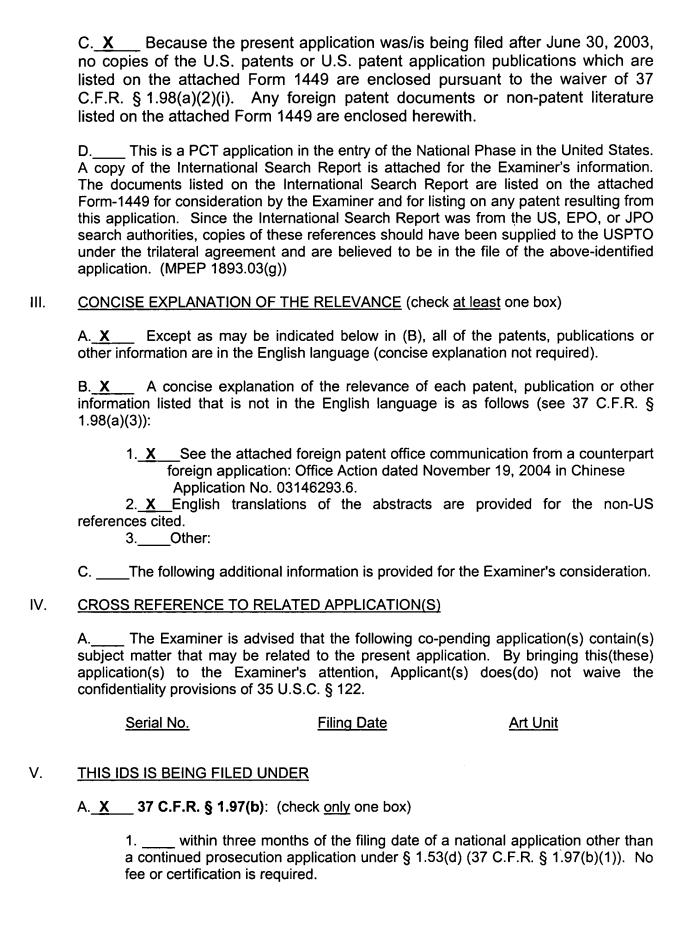
### II. COPIES

A.\_\_\_\_ Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cross-referenced pending U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

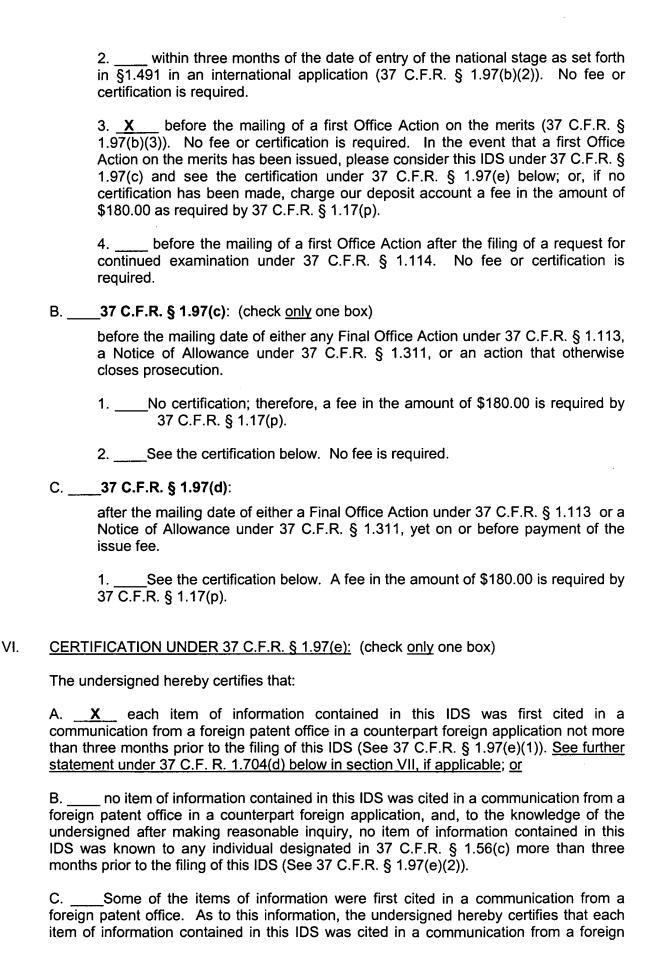
B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date



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Serial No.: 10/618,196 Page 3

patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

### VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

<u>X</u> each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

# VIII. PAYMENT OF FEES (check only one box)

A. A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. \_\_\_\_Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: December 13, 2004

By: \_\_\_\_

H. Keith Miller, Esq.

Reg. No. 22,484

HKM/rf

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828
Bloomfield Hills, Michigan 48303 (248) 641-1600

Serial No.: 10/618,196

FORM HDP-1449 (Based on Form PTO-1449)			ATTORNEY DOCKET No.		SERIAL NO.				
				4041J-000742		10/618,196			
PATENT AND TRADEMARK OFFICE					APPLICANT				
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U.S. F	ATENT DO	CUMENTS					V	1	
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1.		CN 2444193 Y	8/2001	Chin			Abstract		

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Ref. Desig.	Examiner's Initials	Office Action dated November 19, 2004 in Chinese Application No. 03146293.6
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Examiner:	Date Considered:
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